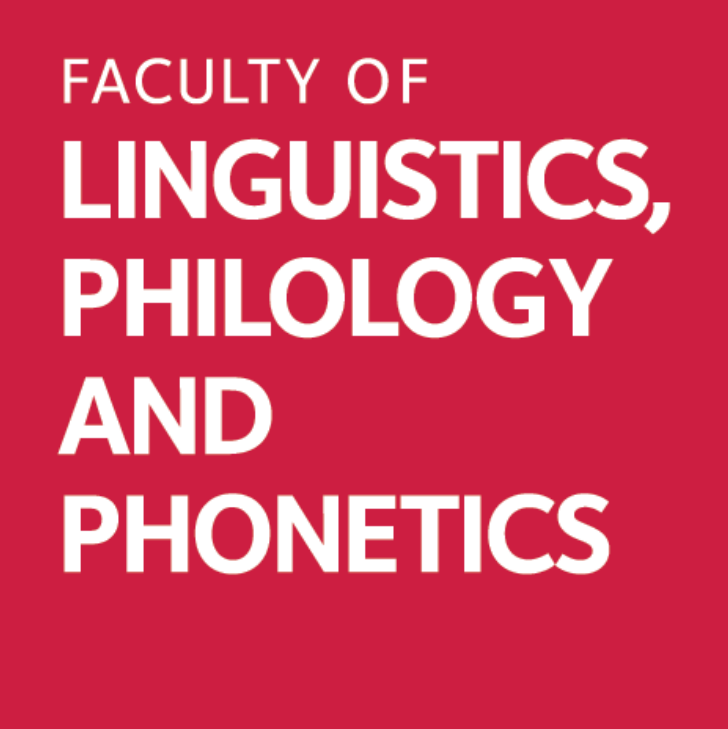


# Discourse expectations are sensitive to the Right Frontier Constraint

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## Discourse Expectations

- When processing discourse, comprehenders use contextual cues incrementally to **predict** relationships between the current and the upcoming discourse units (i.e., **coherence relations**):
- Implicit causality (IC) verbs** elicit expectations for upcoming Explanation relations [1][2]:
  - E.g., *Jenny praised the guy who made lots of money for the company.* → ‘praised the guy because he made lots of money’
- When IC-driven discourse expectations are not immediately fulfilled, they can be **maintained** across at least one intervening discourse unit [2]:
  - E.g., *Jenny praised<sub>IC</sub>/saw<sub>nonIC</sub> the guy who is from Maryland because...*

## Research Question

- Is the maintenance of IC-driven discourse expectations **constrained** by discourse properties, such as the **Right Frontier Constraint (RFC)**?
  - The expectation requires the Explanation to be **attached** to the clause containing IC verbs.

## Right Frontier Constraint

- The RFC is a constraint on **discourse attachment** and anaphora resolution [3][4].
  - It is a key component of the **Segmented Discourse Representation Theory (SDRT)** framework [5].
    - In the SDRT framework, coherent discourse is structured by **coordinating** and **subordinating** relations [6].
- Narration Result Contrast ...*

Coordinating

Background Explanation Elaboration ...

Subordinating

Right Frontier
- There were three circles.

Later, there were three triangles.

One of them was filled.

We cannot detail scenes that have been changed!
- π1 — π2

π3

✓ it → the rental

✗ it → John's car
- RFC**: new utterances can only be attached to **the right frontier of the existing discourse structure** [5].
    - Consider: [π1] John dropped his car for repairs.  
[π2] Then he got a rental.  
[π3] **It** had a broken fuel pump. [7]
  - While the RFC is well integrated into a theory of discourse coherence, few studies have investigated its role in **online discourse processing** [8].

## Discussions

- IC-driven expectations are sensitive to the RFC:
  - The RFC rapidly shapes how readers anticipate and structure discourse in real time.
  - The RFC has psychological reality.
- What happened in the **IC-coordinating** condition?
  - If comprehenders still tried to establish an Explanation relation between the cause and the IC event, we expected to see a **penalty** for the **RFC violation**.
  - However, we didn't observe any slowdown in this condition.
  - Future studies may investigate whether violating the RFC will lead to a measurable processing cost.
- We also observed some **individual variation** related to **age**:
  - The facilitation effect in the IC-subordinating condition appears to be significantly larger for younger comprehenders.
  - Could this be due to an interaction with working memory?

## Experiment

### Self-paced Reading (N = 65)

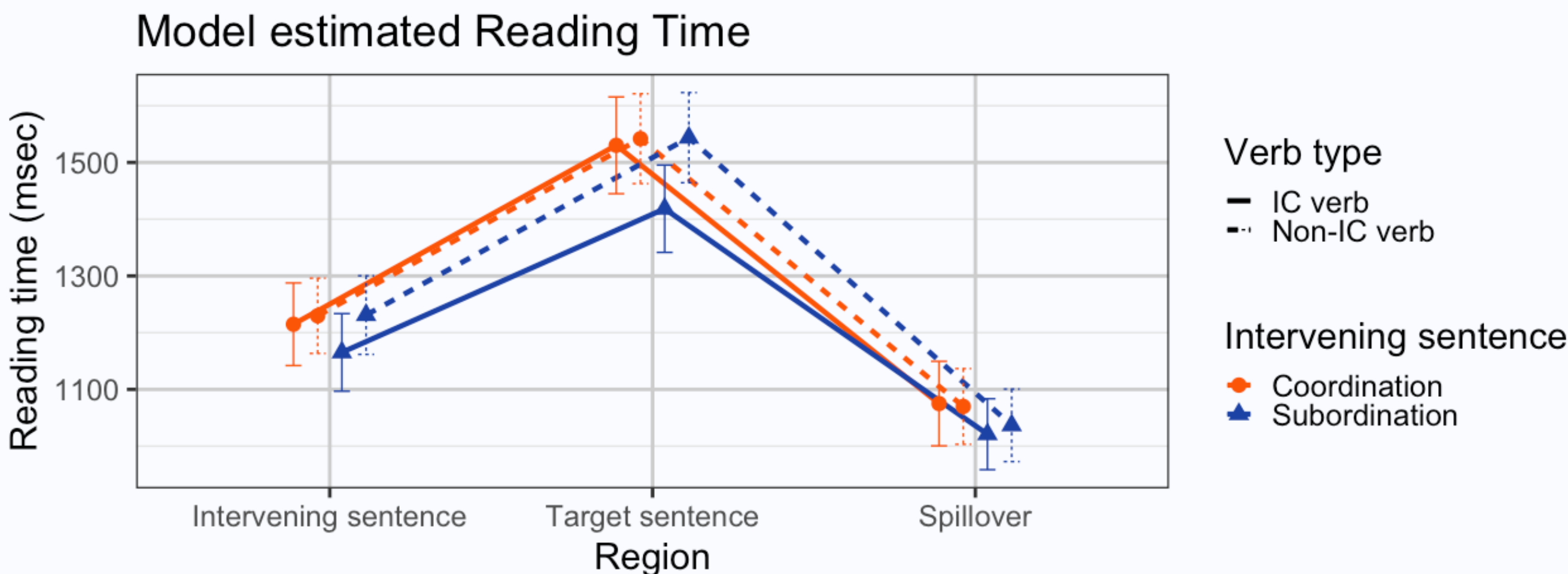
- Sentence-by-sentence; Prolific-recruited participants.
- 36 experimental items + 36 filler items; manipulating **Verb type** (IC, non-IC) and **Intervening sentence** (subordinating, coordinating)
- A **norming study** (N=30) using a likelihood judgment task confirmed that intervening sentences do not fulfill IC-driven expectations.
- Prediction: IC-driven will be held across a Subordinating intervening unit but blocked by a Coordinating intervening unit, leading to **faster** processing in the **IC-subordinating** condition.

Intro		Bill manages many international employees.
IC	Subordinating	This morning he <b>fired</b> Jenny. <i>Jenny is Austrian.</i>
IC	Coordinating	This morning he <b>fired</b> Jenny. <i>Jenny then walked into her office.</i>
Non-IC	Subordinating	This morning he <i>talked to</i> Jenny. <i>Jenny is Austrian.</i>
Non-IC	Coordinating	This morning he <i>talked to</i> Jenny. <i>Jenny then walked into her office.</i>
Target sentence		She had been embezzling money for years.
Wrap-up		It was big news for everyone.

	Est	SE	t	p
Verb Type	-68.5	23.7	-2.89	.005 **
Intervening Sentence	-55.0	30.3	-1.81	.077 .
Interaction	-113.6	51.5	-2.20	.034*
Contrast: IC – NonIC				
	Est	SE	t	p
Coordinating	-11.7	34.6	-0.33	.74
Subordinating	-125.3	35.4	-3.54	.0015**

### Result:

- The IC-driven expectations only **facilitated** processing when the intervening unit was **Subordinating**.
- No significant effects were observed on either the intervening sentence or the spillover sentence.



## Key Takeaways

- The RFC is not just a theoretical constraint — It shapes real-time language processing.
- Long-distance discourse-level expectations are constrained by the RFC.
  - Structural constraints matter in discourse processing.
- Future works can further investigate whether RFC violations incur a direct processing cost.

## Reference

[1] Rohde, H., Levy, R., & Kehler, A. (2011). *Cognition*, 118(3), 339–358. [2] Hoek, J., Rohde, H., Evers-Vermeul, J., & Sanders, T. (2021). *Cognition*, 210, 104581. [3] Polanyi, L. (1988). *Journal of pragmatics*. [4] Webber, B. (1988). 26th annual meeting of the association for computational linguistics. [5] Asher, N. & Lascarides, A. (2003). *Cambridge University Press*. [6] Asher, N & Vieu, L. (2005). *Lingua*. [7] Altshuler, D., & Truswell, R. (2022). *Oxford University Press*. [8] Sasaki, K. M. (2021). PhD dissertation UCSC.